

Obs4MIPs Data Specifications ODS2.5

DRAFT

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Link to latest obs4MIPs tables and controlled vocabulary

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Introduction

The purpose of <u>obs4MIPs</u> is to facilitate comparison of gridded observational data with model output from WCRP intercomparison projects, notably the <u>Coupled Model Intercomparison Project</u>, <u>CMIP</u>. To accomplish this, the organization and description of CMIP and obs4MIPs data are closely coordinated, as described in this document. The details of this technical alignment, including the data structure and metadata requirements, greatly facilitate coordinated delivery (via the Earth System Grid Federation, ESGF) and use the data.

The original set of obs4MIPs contributions adhered to guidelines (circa 2012, hereafter ODS V1.0) aligned with the CMIP5 data specifications. This early phase of obs4MIPs was challenged because the software infrastructure relied upon for CMIP (discussed below as "CMOR") did not readily accommodate observations. In coordination with the Working Group on Coupled Models (WGCM) Infrastructure Panel (WIP), in 2017 ODS2.1 was aligned with the CMIP6 data specifications and represented a substantial improvement including. At that time obs4MIPs coordination and governance was provided by the WCRP's Data Advisory Council's "Observations for Model Evaluation Task Team", but since 2022 that role has been fulfilled via continued coordination with the WGCM's WIP and an obs4MIPs Steering Group with the technical support of the CMIP International Project Office (CMIP IPO).

The standards used to describe CMIP data are now quite mature and the general structure has not required significant changes from one phase of CMIP to the next. ODS2.5 thus only includes minor changes to ODS v2.1 while keeping consistency with existing (CMIP6) protocols. As with CMIP, making changes to ODS only as necessary helps ensure continuity and backwards compatibility as the project advances. Another minor update to ODS may be made in several years as the data description of CMIP7 is solidified.

To avoid naming conflicts in the identification of data sources and institutions, it is necessary for data providers to register the name of their institution and information about their data sets *prior to generating* obs4MIPs data sets. This is done by submitting an "issue" on the <u>obs4MIPs-cmor-tables Github repository</u>. (See <u>Appendix 2</u> for the information requested.)

obs4MIPs metadata specifications for the following categories are described in this document:

- 1. <u>Global attributes</u>: Explicitly defined metadata included in netCDF files that describe the contents of the dataset and provenance (e.g., "variable id").
- 2. <u>Data Reference Syntax (DRS)</u>: Some attributes (e.g., "institution_id", "source_id", "variable_id") comprise the data reference syntax (DRS) for obs4MIPs, which closely parallels the DRS of CMIP6. The DRS is used, for example, in file names, directory structures, the further info url, and in facets of some search tools such as ESGF.
- 3. <u>Directory structure</u>: This can be thought of in the traditional sense, and includes selected DRS information used as subdirectories. It is explicitly defined in obs4MIPs to facilitate organization of a federated obs4MIPs database and searching for

data with the ESGF.

4. Filenames: Like the directory structure, filenames are constructed based on a template that relies mainly on CV entries.

Beyond metadata, this document includes a discussion of the inclusion (or not) of grid cell bounds. This information makes it possible for users of the data to weight the influence of each cell based on its surface area (or volume), e.g., for calculating a domain mean. A user can estimate the grid cell bounds, but in general those closest to the original data are better positioned to estimate them. We recommend that data providers include grid bounds (<u>Appendix 1</u>).

What is new in ODS2.5?

The primary refinements since ODS v2.1 identified here and further described later in this document:

Changes to obs4MIPs Global Attributes, DRS and filenames

- New global attributes related to provenance: documenting where/when/who data was retrieved (<originData_URL>,
 <originData_retrieved>, <originData_notes>) and how the data was prepared (<obs4MIPs_GH_Commit_ID>)
- New global attributes related to consistency with CMIP output: <CMIP6_consistency_variable>,
 <CMIP6_consistency_timeFrequency>, <obs4MIPs_exploratory_product>)
- Replace use of <grid_label> in directory structure with <nominal_resolution> (without spaces); retain <grid_label> in file name.
- <variant_label> now used to identify "3rd Party" contributions (see below) in the filename AND the accommodation of observational "ensembles" (if applicable).
- <further info url> has been deprecated
- The directory structure and filename template in ODS2.5 are the same as in ODS2.1

Changes to the data preparation protocol

- <u>Demo's</u> and "<u>recipe</u>" documentation now available along with codes used to prepare existing products
- Data contributions can now be provided by "3rd parties" (i.e., not restricted to the original data curators)
- For a dataset to be "obs4MIPs-compliant" it must:
 - Have the <source_id> registered on the obs4MIPs GH repo
 - Make processing codes available on the obs4MIPs GH metadata repo

Three stages of preparing obs4MIPs data are now identified via the following syntax:

An <u>obs4MIPs-compliant dataset</u> is a netCDF file(s) that has been prepared according to all specifications described in this document and on the GH repository mentioned above. This includes submitting the required registered content to the repository and inclusion of the associated processing codes on the GH repository. Anything short of this may be *obs4MIPs-like*, but not obs4MIPs-compliant.

An obs4MIPs product is an obs4MIPs-compliant dataset that has been properly published to the ESGF obs4MIPs project.

A <u>reviewed obs4MIPs product</u> is an obs4MIPs-product that has been assessed by the obs4MIPs Steering Panel and has been assigned indicators as described in Fig 2a of Waliser et al. (2020).

Global attributes

The global attributes are constructed to facilitate organization of the obs4MIPs datasets, and in particular for providing a useful set of options (or facets) for data exploration via the <u>ESGF metagrid</u>. The purpose of the DRS is more behind the scenes than the search functions - but it is critical in defining how data from the CMIP6 and obs4MIPs databases is organized (notably the directory structure). These obs4MIPs data structures are curated by the authors of this document in consultation with an obs4MIPs task team and the WIP.

Satisfying these (or the CMIP6) data requirements is facilitated by using the Climate Model Output Rewriter (CMOR3), as described in

a <u>separate document</u>. Use of CMOR3 is currently required for producing obs4MIPs data, because it ensures that the necessary metadata for distributed data searching is included. <u>Following a recipe</u>, A user populates an input table with the entries of the required global attributes, and data is read in (typically via a python script) and output via CMOR3 which automatically produces the DRS and filenames in the preparation of obs4MIPs-compliant data. Once the <u>CMOR utility PrePare</u> has been generalized to apply for obs4MIPs, the requirement of using CMOR for obs4MIPs may change to "strongly recommended".

Table 1 contains the list of obs4MIPs global attributes, indicating which are required and which are optional. The values for many of the global attributes must be drawn from special obs4MIPs "controlled vocabularies" (CVs). A CV, in simplest form, is a list of the permitted values that can be assigned to a given global attribute. The lists of permitted values can be found in the <u>reference CVs for obs4MIPs</u> maintained on a github repository.

Table 1: obs4MIPs global attribute description

with comparison to the original obs4MIPs conventions (ODS V1.0, circa 2012, and ODS2.1 circa 2017)

Table color key:

Name or form has been changed relative to CMIP5 and/or ODS V1.0

New attribute for obs4MIPs added with ODS2.1

New attribute for obs4MIPs added with ODS2.5

Controlled Vocabulary (CV)

Registered Content (RC)

obs4MIPs required global attributes see note 1	description	examples	Introduced	form see note 2	when required?	further information and rationale
activity_id (see note 3)	activity identifier	only value permitted is "obs4MIPs"	originally as project_id in ODS1.0	CV	always	Renamed more generically, since not all activities are projects; also multiple activities may now be listed separated by single spaces
CMIP6_consistency_variable	Flag to identify if product matches a	"Y" or "N"	ODS2.5	structured form	always	Helps broaden contribution possibilities beyond one-to-one

	CMIP variable					correspondence between model
						output and observations
CMIP6_consistency_time	Flag to identify if product time coordinate is used in CMIP	"Y" or "N"	ODS2.5	structured form	always	Helps broaden contribution possibilities to include different time frequencies
comment	see note 9	see note 9	ODS1.0	free form	never	No change from original obs4MIPs or CMIP5; CF-convention standard
contact	see note 9	see note 9	ODS1.0	free form	always	Still required with ODS-2.0, but anticipated to be superseded by "further_info_url" (below) maintained by ESDOCs
Conventions	convention version	" <u>CF-1.1</u> ODS-2.5" or higher	ODS1.0	CV	always	Updated version from ODS-1.0 with a list of conventions separated by single spaces now allowed
creation_date	date file was created	see note 5	ODS1.0	structured form	always	No change from original obs4MIPs specs (automatic with CMOR3)
data_specs_version	version identifier of obs4MIPs CV's and CMOR tables	2.5	ODS2.1	CV	always	This version number is associated with the version or github "tag" for the obs4MIPs CMOR tables and the CV's. See PCMDI/obs4MIPs-cmor-tables which originate from the CMIP6 tables
exploratory_product	Flag to identify if product has been made available	"Y" or "N"	ODS2.5	structured form	always	Enables the inclusion of potentially useful data that may not be directly comparable with CMIP without additional effort
external_variables	external cell measures	"areacella", "areacello",	ODS2.1	CV	rarely needed, but	List of cell measure variables (separated by single spaces) that

		"volcella",			include	are referenced but not included in
		"volcello",			when	the file. These variables will be
		as defined in			appropriate	stored independently in the
		CMIP6				obs4MIPs data archive. Use of
						this attribute is expected to be
						infrequent in contrast to the
						recommended inclusion of grid
						bounds (<u>Appendix 1</u>)
						No change from original
frequency	sampling frequency	"day"	ODS1.0	CV	always	obs4MIPs. The current options are
						given in obs4MIPs_frequency.json
grid	grid	see note 7	ODS2.1	free form	always	Briefly describes output grid characteristics
grid_label	grid identifier	"gn", "gr1" see note 8	ODS2.1	CV (note 11)	always	Used in file name to distinguish among files when the variable is reported on more than one grid. See obs4MIPs_grid_label.json
history	see note 9	see note 9	history	free form	never	No change; CF-convention standard
institution	institution name	"NOAA's National Centers for Environmental Information, Asheville, NC 28801, USA"	institution	CV with registered content	always	Can be used to identify institute responsible for dataset including a curator role if data is no longer managed by original individual or entity. These entries must be registered in: obs4MIPs_institution_id.json
institution_id Will have ESGF alias with	institution identifier	"NCEI"	institute_id	CV with registered	always	Was "institute_id"; name changed to parallel other global attributes;

institution_id to be backwards compatible with ODS v1.0				content		this string is constructed only using the character set: a-z, A-Z, 0-9, and "-'. These entries must be registered in: PCMDI/obs4MIPs-cmor-tables/blo b/master/obs4MIPs_institution_id.j son
license	license restrictions	see note 13	NEW in ODS2.1	required text	always	Ensures that anyone using the files has access to the terms of use
nominal_resolution	approximate horizontal resolution	"50 km", "100 km", "250 km", "1x1 degree". (See Appendix 2 of CMIP6 specifications)	ODS2.1	CV	always	Added in CMIP6 to provide an indication of approximate output grid resolution. See obs4MIPs_nominal_resolution.json
obs4MIPs_GH_Commit_ID	Direct link to code used to process data via GH hastag	When preparing data via GH repo a function can be called that identifies the precise code version which can be saved as a global attribute	ODS2.5		always	
originData_notes	For 3rd party contributions: Allows additional info on the organization or accessibility of original		ODS2.5		never	

	data.					
originData_retrieved			ODS2.5		never	
originData_URL			ODS2.5		always	
product	product type	"observations", "reanalysis"	product	CV	always	As in original obs4MIPs and CMIP5
realm	realm(s) where variable is defined	"atmos", "ocean", "land", "sealce" "atmosChem"	realm	CV	always	As in original obs4MIPs. See obs4MIPs_realm.json
references	see note 9	see note 9	references	free form	never	No change; CF-convention standard
region	Pre-defined (<u>CF</u> <u>conventions</u>) approximate regions	"north_america ", "global", "global_land" (multiple entries ok)	ODS2.1	CV (list object)	always	See <u>obs4MIPs_region.json</u>
source (modified form)	full observational dataset name/version	see <u>Appendix</u>	source	CV (Generated from registered content)	always	More comprehensive than original obs4MIPs and CMIP5. See Tables/obs4MIPs_CV.json and search for source_id
source_id (modified form)	Observations identifier	"GPCP-2-4-1" See <u>Appendix</u> 2	source_id	CV (Generated from registered content)	always	Edited version of first part of "source" (with forbidden characters like spaces and periods replaced with hyphens); used in constructing the file name. See obs4MIPs_source_id.json for examples
source_label	label used to identify source (independent of	"GPCP" See <u>Appendix</u>	ODS2.1	CV with registered	always	This should be the same as source_id, but without a version

	source version)	2		content		number. It will likely be used in faceted searches to get a truncated list of sources (without all the different versions listed)
source_type	Observational class	'satellite_retrie val', 'satellite_blend ed', "gridded_insitu ", "reanalysis"	Mixture of source_typ e and product	CV see Appendix 2	always	See obs4MIPs_source_type.json. Additional entries may be added over time
source_version_number	Numeric version identifier	v1.0, 1.2 ver2.3.1 See <u>Appendix</u> 2	ODS2.1	CV with registered content	always	See obs4MIPs_source_id.json and search for source_version_number
title	see note 4	see note 4	title	free form	never	no change; CF-convention standard
tracking_id	unique file identifier (automatically generated by CMOR)	see note 14	tracking_id	structured form with some CV	always	Form modified to facilitate use by ESGF
variable_id	variable identifier	"tas", "pr", "ua"	variable_id	CV	always	Added to direct users and software to the primary variable of interest in the file. The complete list of CMIP6 variable_ids is here
variant_info	description of "3rd party" identifier and if applicable run variant	"Best Estimate", "Sphere of influence =	New in ODS2.1 refined in	free form	as appropriate	Provides brief description of variant differences. Not needed in most cases, when there is only a "Best Estimate" (BE)

		20km" See	ODS2.5			
		note 15				
variant_label	"variant" label	"PCMDI" "RSS-BE" "RSS-r1" See note 16	NEW in ODS2.1 refined in ODS2.5	structured form	always	Attribute serving two purposes: 1) an Institution_id representing who prepared the obs4MIPs product, possibly the data curator or a "3rd party" AND if applicable a concatenated 2nd entry to identify members of an observational ensemble. If there is a default version, it is identified as a 'best estimate' or "BE". Only when there are multiple estimates of the same source_id (e.g., constructed with alternate processing choices) is the value different in which case it should follow CMIP6, e.g., with "BE", "r1", "r2", "r3",

Table Notes:

- 1. Using CMOR, an additional global attribute will automatically be included: cmor_version, but this is not an obs4MIPs required global attribute
- 2. "CV" means content must be taken from a "controlled vocabulary" defined in coordination with the WIP. "registered content" (RC) is special controlled vocabulary defined by data contributors and monitored by the obs4MIPs TT. Data contributors can submit RC at (https://github.com/PCMDI/obs4MIPs-cmor-tables) and contact obs4MIPs-admin@llnl.gov
- 3. For backwards compatibility with ODS V1, project_id will be an alias of activity_id on ESGF.
- 4. Since some software uses the 'title' for default plotting or describing the contents of a file, it can be used to provide a description that is similar or equivalent to the source. A common entry may be the same as the source but without the release_year.

5.creation date form: YYYY-MM-DDTHH:MM:SSZ (e.g., "2010-03-23T05:56:23Z")

- 6. further info url deprecated.
- 7. The "grid" global attribute can be used to describe the horizontal grid and regridding procedure. There is no standard form used to record this information, but it is suggested that when appropriate the following be indicated: brief description of native grid and resolution, and if data have been regridded, regridding procedure and description of target grid. Here are some examples:
 - grid = "data regridded to a CMIP6 standard 1x1 degree latxlon grid from the native T63 grid using an area-average preserving method" grid = "data regridded via bilinear interpolation to a 3x3 deg latxlon grid from the native atmosphere T63 gaussian grid (64x128 latxlon)" grid = "data regridded to a CMIP6 standard 1x1 degree latxlon grid from the native T63 grid using an area-average preserving method" grid = "data regridded via bilinear interpolation to a 3x3 deg latxlon grid from the native atmosphere T63 gaussian grid (64x128 latxlon)"
- 8. Data providers may choose to report their output on one or more grids, or with special care provide an alternate projection. To distinguish between output reported on different grids, a "grid_label" attribute is defined. The original grid should be labeled with "gn". Additional grids should be labeled using the form "gr[i]" where i is a positive integer. If the data is subsequently regridded to a second and third grid, "gr2" and "gr3" could be used to distinguish these grids from "gr1".
- 9. A description and examples of the contact, comment, history and references global attributes may be found in the document: CMIP5_output_metadata_requirements (http://cmip-pcmdi.llnl.gov/cmip5/docs/CMIP5 output_metadata_requirements.pdf). BROKEN LINK
- 13. The wording of the "license" attribute is up to the dataset creator, but it is recommended that you reference one of the "Creative Commons" licenses. Here is some possible text: "Data in this file produced by <Your Centre Name> is licensed under a Creative Commons Attribution-ShareAlike 4.0 International License (https://creativecommons.org/licenses/). Use of the data must be acknowledged following guidelines found at <a URL maintained by you>. Further information about this data, including some limitations, can be found via <some URL maintained by you>."
- 14. tracking_id should be of the form hdl:21.14102/<uuid> (e.g., "hdl:21.14102/02d9e6d5-9467-382e-8f9b-9300a64ac3cd"). The tracking_id should be unique for each file published in ESGF. CMOR automatically generates a tracking_id. You can review the python built-in UUID library documentation here.
- 15. Except when variant_label="BE", it is recommended that variant_info include information identifying major distinguishing features of a variant, but care should be taken to record correct information.
- 16. Identifies who/where, via an Institution_id, the obs4MIPs-compliant data was prepared. This can be the original curators of the data or a "3rd party". Also, if the same source_id is being used to represent multiple versions of an observational "ensemble", the institution_id can be combined (via "-") with labels representing subsequent versions. If there is a default or official member, "BE" can be used to identify this best-estimate, with labels representing subsequent versions (derived from different processing choices), with the CMIP nomenclature recommended: 'BE', 'r1','r2','r3',...'rN'. Examples: "PCMDI", "RSS-BE", "RSS-r1"

Data Reference Syntax (DRS) components:

The DRS is used, for example, in file names, directory structures, the further_info_url, and in facets of some search tools. The following components are needed for obs4MIPs:

activity_id (original obs4MIPs "activity")
realm (original obs4MIPs "activity")
frequency (original obs4MIPs: "frequency")
product (original obs4MIPs: "product")
institution_id (original obs4MIPs: "institute")
source_id (original obs4MIPs: "model")

source label (new in obs4MIPs)

variable_id (original obs4MIPs: "variable name")

region (new in obs4MIPs) grid label (new in obs4MIPs)

variant_label (original obs4MIPs: "ensemble member") version (original obs4MIPs: "version number")

File name template:

The obs4MIPs file name must be constructed consistent with the following template.

```
obs4MIPs file name template = 
<variable_id>_<frequency>_<source_id>_<variant_label>_<grid_label>[_<time_range>].nc
e.g. siconc mon OSI-SAF-450-a-3-0 PCMDI-BE gr1 185001-202301.nc
```

For time-invariant fields, the last segment (time_range) above is omitted.

All strings appearing in the file name are constructed using only the following characters: a-z, A-Z, 0-9, and the hyphen ("-"), except the hyphen must not appear in variable_id. Underscores are prohibited throughout except as shown in the template.

Note that the last segment of the file name indicates the time-range spanned by the data in the file, and is omitted when inappropriate. The format for this segment is the same as in CMIP6 (see Table 2 of the CMIP6 specs document: http://goo.gl/v1drZl).

```
For comparison, here is the CMIP6 file name template:

<variable_id>_<table_id>_<source_id>_<experiment_id >_<member_id>_<grid_label>[_<time_range>].nc

and the legacy obs4MIPs file name template:

<variable>_<instrument>__processing_level>__<start_date>-<end_date>.nc
```

Directory structure template:

The obs4MIPs directory structure must be constructed consistent with the following template.

```
obs4MIPs directory structure =
<activity_id>/
         <institution id>/
                  <source_id>/
                           <frequency>/
                                   <variable_id>/
                                            <nominal_resolution>/
                                                     <version>
For comparison, here is the CMIP6 directory structure:
<mip_era>/
         <activity id>/
                  <institution id>/
                          <source id>/
                                    <experiment id>/
                                            <member id>/
                                                     /
                                                              <variable id>/
                                                                       <grid label>/
                                                                                <version>
and the legacy obs4MIPs directory structure:
obs4MIPs/
         observations/
                 <realm>/
                          <variable id>/
                                   <frequency>/
                                            <grid>/
                                                     <Institution id>
                                                              <instrument>/
                                                                       <version>/
```

Notes:

<version> here refers to the CMOR-assigned version number which has the form "vYYYYMMDD" (e.g., "v20170921"), indicating a representative date for the version was produced for obs4MIPs. For those not using CMOR, the convention must be followed.

Sample file header

The example below was produced from the following demo: https://github.com/PCMDI/obs4MIPs-cmor-tables/demo KEY: yellow means "absolutely essential"

```
ncdump rlut_mon_CERES-EBAF-4-2_RSS_gn_200003-202307.nc
dimensions:
  time = UNLIMITED; // (281 currently)
  lat = 180;
  lon = 360;
  bnds = 2;
variables:
  double time(time);
          time:bounds = "time bnds";
          time:units = "days since 2000-03-01 00:00:00";
          time:calendar = "gregorian";
          time:axis = "T";
          time:long name = "time";
          time:standard name = "time";
  double time bnds(time, bnds);
  double lat(lat):
          lat:bounds = "lat bnds";
          lat:units = "degrees north";
          lat:axis = "Y";
          lat:long name = "Latitude";
          lat:standard name = "latitude";
  double lat_bnds(lat, bnds);
  double lon(lon);
          lon:bounds = "lon bnds";
          lon:units = "degrees east";
          lon:axis = "X";
          lon:long name = "Longitude";
          lon:standard name = "longitude";
  double lon bnds(lon, bnds);
  float rlut(time, lat, lon);
          rlut:standard name = "toa outgoing longwave flux";
          rlut:long name = "TOA Outgoing Longwave Radiation";
          rlut:comment = "at the top of the atmosphere (to be compared with satellite measurements)";
          rlut:units = "W m-2":
          rlut:cell methods = "area: time: mean";
          rlut:cell measures = "area: areacella";
          rlut:history = "2023-12-05T22:32:04Z altered by CMOR: replaced missing value flag (-999) and corresponding data with standard missing value (1e+20).";
          rlut:missing value = 1.e+20f;
          rlut: FillValue = 1.e+20f;
          rlut:valid min = "0.00000";
          rlut:valid max = "400.000";
```

```
// global attributes:
          :Conventions = "CF-1.7 ODS-2.1";
          :activity id = "obs4MIPs";
          :contact = "RSS (support@remss.com)";
          :creation date = "2023-12-05T22:32:05Z";
          :data specs version = "2.1.0";
          :external variables = "areacella";
          :frequency = "mon";
          :further info url = ".";
          :grid = "1x1 degree latitude x longitude";
          :grid_label = "gn";
          :history = "2023-12-05T22:32:05Z; CMOR rewrote data to be consistent with CMIP6, CF-1.7 ODS-2.1 and CF standards.";
          :institution = "NASA-LaRC (Langley Research Center) Hampton, Va";
          :institution id = "NASA-LaRC";
          :mip era = "CMIP6";
          :nominal resolution = "100 km";
          :obs4MIPs GH Commit ID = "https://github.com/PCMDI/obs4MIPs-cmor-tables/tree/77c8a3e419552f672ee5bc8293e00ac3295fb4a8/inputs/RSS
/NASA-LaRC":
          :originData URL = "https://ceres.larc.nasa.gov/data/";
          :originData retrieved = "20230209; Andrew I. Manaster @ RSS";
          :product = "observations";
          :realm = "atmos" :
          :references = "doi: 10.1175/JCLI-D-17-0208.1" :
          :region = "global";
          :source = "CERES-EBAF-4-2 4.2 (2022): CERES EBAF (Energy Balanced and Filled) TOA Fluxes. Monthly Averages";
          :source id = "CERES-EBAF-4-2";
          :source label = "CERES-EBAF-4-2";
          :source type = "satellite blended";
          :source version number = "4.2";
          :table_id = "obs4MIPs_Amon";
          :table info = "Creation Date:(18 November 2020) MD5:c7a33bd10bfb7342de23bda43175c1e2";
          :title = "CERES V4.2 prepared for obs4MIPs (ODS-v2.1.0)";
          :tracking_id = "hdl:21.14102/9987edbc-097c-404b-87bc-0004ad95ea6c";
          :variable id = "rlut";
          :variant info = "Best Estimate";
          :variant label = "RSS";
          :license = "Data in this file produced by NASA-LaRC are licensed under a Creative Commons Attribution 4.0 International License
(https://creativecommons.org/licenses/by/4.0/). Use of the data must be acknowledged following guidelines found at https://ceres.larc.nasa.gov/.
Further information about this data, including some limitations, can be found via https://ceres.larc.nasa.gov/.";
          :cmor version = "3.7.3";
```

Appendix 1: Coordinate bounds

While climate models have grid cells with boundaries that have exact definitions allowing analysts to precisely compute area integrals averages,

they are often not well defined for gridded observational products. However, to objectively compare simulations and observations, the area associated with each gridded value in an observational product must be estimated. We therefore recommend that the data provider include their own estimates of the latitude-longitude grid cell bounds from which the grid cell areas can be calculated (since they are most familiar with the data). This will help ensure uniformity among different researchers analyzing the data.

Appendix 2: Guidance for defining and registering source information (product description)

We need 7 pieces of information from obs4MIPs data providers to enable search functioning and other services on ESGF. (Note that we are referring here to only the "source" information which collectively is used to identify a dataset; data providers will also need to register their institution, and if they are contributing a new variable, not already defined by CMIP6, they will need to register the variable.). The 7 attributes are listed below, each with 3 examples:

1. **source** name ("brand name") of the dataset. (See below for further guidance.) "REMSS PRW" "GPCP" "NOAA NCEI AVHRR NDVI" 2. release year (year this version of the data was produced and made available; the year that the data was reformatted for obs4MIPs is irrelevant): "2017" "2003" "2013" 3. source description of the dataset which usually is simply an expansion of the acronyms or abbreviations appearing in source name "Remote Sensing Systems precipitable water" "Global Precipitation Climatology Project" "NOAA Nat Cent ... AVHRR Normalized difference vegetation index" 4. **source version number** of the dataset (following whatever convention the data provider prefers) "V6.6.0" "2.3" "V4.0" 5. **institution** id (acronym(s) used to identify the institution, group, or consortium responsible for producing the data set) "RSS" "UofMD"

6. the **region** covered by the dataset (see <u>obs4MIPs_region.json</u> for options; the smallest appropriate region among the options should be used) "global"

"NOAA NCEI"

```
"global_ocean"

"global_land"

7. the source_type (see <u>obs4MIPs_source_type.json</u> for options)

"gridded_insitu"

"satellite_blended"

"satellite_retrieval"
```

From the information above, the obs4MIPs team constructs the following 3 items:

```
8. source_label = <source_name>, but substituting "-" for certain forbidden characters (including ".", "_", "(", ")", "/", and " ").

"REMSS-PRW"

"GPCP"

"NOAA-NCEI-AVHRR-NDVI"
```

```
9. source_id = <source_label>-<source_version_number> but substituting "-" for certain forbidden characters (including ".", "_", "(", ")", "/", and " ").

"REMSS-PRW-6-6-0"

"GPCP-2-3"
```

"NOAA-NCEI-AVHRR-NDVI-4-0"

10. source = <source_name> <source_version_number> (<release_year>): <source_description>
 "REMSS PRW v6.6.0 (2017): Remote Sensing Systems precipitable water"
 "GPCP 2.3 (2003): Global Precipitation Climatology Project"
 "NOAA NCEI AVHRR NDVI v4.0 (2013): NOAA Nat Cent ... AVHRR Normalized difference vegetation index"

In summary, data providers need to *register content* for the following: 1) source_name, 2) release_year, 3) source_description, 4) source_version_number, 5) institution_id, 6) region and 7) source_type. They can do this by submitting an issue on the <u>obs4MIPs-cmor-tables</u> <u>github repository</u>. From this information the source_label, source_id and source are constructed. CMOR will record as global attributes all items except the first 3: source_name, release_year, and source_description (because they are included in "source").

Notes:

- 1) The *source_name* must be unique across all obs4MIPs datasets. It should be as short as possible because it appears in file names, directory structures, search lists, and the like.
- 2) For an existing dataset that is now being prepared for obs4MIPs, the *source_name* might be an established name associated with the dataset and recognizable by the community (e.g., GPCP, GHCN). For new data sets that do not yet have a recognized brand name, *source_name* might (but is not required to) include an acronym with some indication of: who the data provider is, what instrument the observations are based on, and/or the name of the observed variable. The *source_name* should not be too generic because each separate contribution to obs4MIPs (current or future) must have a unique source_name. [If, for example, you are currently contributing a sea surface temperature dataset, but expect later to prepare a surface salinity dataset, you should anticipate that when constructing your source_name. In this case you wouldn't want the source_name to just be the name of your group. Similarly, you wouldn't want your source name to be just the name of the variable because it is likely other groups will also want to contribute the same variable to obs4MIPs.] Consider what name you would like the dataset to be known by in the years to come.
- 3) If an obs4MIPs contribution includes multiple, related datasets (i.e., more than one variable), coming, for example, from a self-consistent analysis procedure, then all the variables would normally share a common source name and source version number.
- 4) If a single group plans to prepare multiple *unrelated* datasets, then each dataset should be assigned a different source_label. By "unrelated" we mean they would normally be independently assigned "release" (or version) numbers. If these multiple, unrelated datasets each deal with a different variable, then one option would be to include the variable name as part of the source_label, but this is not a requirement. The provider might have other ways to distinguish between these datasets.

Note: If a minor problem is found in obs4MIPs datasets (e.g., a mistake in one of the global attributes), the mistake can be corrected without issuing a new "release" with a new version. The data can be republished on ESGF, and ESGF's versioning system will hide the deprecated file(s) (and make it easy for users to determine whether they have the most up-to-date files).

Appendix 3: Document version information

- 0.1 (23 October, 2016) Document initiated with CMIP6 analog. Not public
- 0.2 (23 October 22 February 2017) working on draft document in relation to CMIP6, CMIP5 and original obs4MIPs specs
- 0.3 (March 01 April 05 2017) Added "region" as global attribute, finessing Table 1 footnotes
- 0.4 (April 25, 2017) Draft made public on obs4MIPs CoG site enabling broader feedback
- 2.0 released (June 30, 2017) on obs4MIPs CoG site
- 2.1 (June 25, 2017) update made public on obs4MIPs CoG site
- 2.5 Final DRAFT expected early in 2024

2.1 released (September 22, 2017)

- source_id now is a compound structure with source_label followed by the version number. Example: source_label="GPCP", source_id="GPCP-2-4-1". This is described in Appendix 2
- table_id was eliminated since table names have very little meaning now in CMIP. "frequency" will be used in place of table name to distinguish between a variable sampled at different frequencies. This is expected to be more compatible with CMIP7
- source version number was added
- "region" is now a required attribute (so that we can populate a search facet)
- typos and links were corrected and clarifying text and links were added
- CMOR software has been incremented from 3.2.x to 3.2.7 with changes made to implement the revised specifications